

# **DYNAMIC SYNDICATIONS RACING SYNDICATE**

## **APPLICATION FORM**

Subscriber – Surname (or Company):		
Given name(s):		Date of Birth:
Street Address:		
Suburb:	State:	Postcode:
Telephone: (Bus)	Home:	Mobile:
Email:		
Tax file number:		
Australian Business Number (ABN), if applicable:		
If you have an ABN number, are you registered for GST (if applicable):		
Where ABN is not supplied, please confirm that you are a hobby owner:		

**[Please complete the above table as the information is required by the Registrar of Racehorses when registering ownership].**

### **ACKNOWLEDGMENTS**

I acknowledge having received and examined the following documentation from *DEAN WATT THOROUGHBREDS PTY LTD (ABN 64 134 481 539)(T/AS DYNAMIC SYNDICATIONS)(AFSL 336808)* ("the Promoter"):

- (a)
  - (i) *Financial Services Guide ("FSG")*;
  - (ii) *Product Disclosure Statement ("PDS")* relating to the offering of Shares in the **UNNAMED THOROUGHBRED BAY COLT FOALD 26/10/2009 BY NOT A SINGLE DOUBT FROM RAVEN PROTECTOR (by Hector Protector)** ("the Horse") and
  - (iii) *Share Application Form*.
- (b) I have relied on my own inquires and independent advice in relation to my financial and legal rights and obligations as the Owner of a Share in the Horse. Furthermore, I acknowledge I have had the opportunity to obtain my own independent financial and legal advice relating to my acquisition of a Share or Shares in the Horse. I further acknowledge and declare that I have not received any advice either from the Promoter or any representative of the Promoter other than that detailed in the FSG and the PDS.
- (c) I agree to be bound by the terms and conditions of the Syndicate Deed, the terms of which I am fully familiar.
- (d) I acknowledge that the Promoter reserves the right to decline any application or part of any application at their discretion and that the allotment of Shares to any applicant requires the approval of the Promoter. Subject to that right, Shares will be allotted in the order in which applications are received and application monies will be refunded to applicants whose applications are unsuccessful either because their applications have been declined or because all the available Shares have been sold. Any interest earned will be paid on the refunds.
- (e) I acknowledge that the total amount payable per Share upon application is **\$14,200.00** ("the Application Price").
- (f) Furthermore, I hereby acknowledge and confirm that I authorise the Syndicate Manager to sign on my behalf and lodge with the Registrar of Racehorses and/or Lead Regulator any application for registration and/or transfer of ownership (including a transfer of ownership of my interest in the event that I am in default and fail to remedy that default) relating to the Horse during the life of the Syndicate (as per clause 2.8 of the Syndicate Deed).

### **APPLICATION FOR SHARES**

I apply for \_\_\_\_\_ Share(s) in the Syndicate at the Application Price per share of **\$14,200.00**.

Attached is a cheque for \$ \_\_\_\_\_ **\$14,200.00** x \_\_\_\_\_ for \_\_\_\_\_ Share(s).

Cheques are to be made payable to **DEAN WATT THOROUGHBREDS PTY LTD T/AS DYNAMIC SYNDICATIONS TRUST ACCOUNT** and forwarded with this completed Application Form to : **DYNAMIC SYNDICATIONS, PO Box 2324, TAREN POINT, NSW, 2229.**

## HOW TO INVEST

Shares will not be issued on the basis of this Application after the Offer closing date.

This Application Form must be signed by all parties. Joint Applicants must state whether they wish to purchase as joint tenants or tenants in common. In the absence of any such statement Joint Applicants will be deemed to be tenants in common. Tenants in common will be deemed to be in equal Shares unless the contrary is stated. The Promoter reserves the right to require the joint owners of any share to register their own Syndicate with the Lead Regulator in accordance with the Rules of Racing and to own such Share in the name of such Syndicate.

If signed under Power of Attorney, the Attorney hereby certifies that he or she has not received notice of a revocation of that power and undertakes to produce the Power of Attorney (or a certified copy of it) if requested.

A Company must execute in accordance with the provisions of Section 127 of the Corporations Act.

This Application Form must not be handed on unless attached to this PDS.

Applicants are advised to seek independent advice as to their legal position and in relation to the value of the Horse and Shares the subject of this PDS.

**THE FOLLOWING PRIVACY NOTICE AND REQUEST FOR PERSONAL INFORMATION IS INCLUDED ON THIS APPLICATION FORM AT THE REQUEST OF THE LEAD REGULATOR):**

**PRIVACY:** The Registrar or Deputy Registrar of Racehorses collects information about all syndicate members when the syndicate manager submits an application to register a syndicate. The Registrar or Deputy Registrar will use that information to assess the application and if the syndicate is approved, the ongoing status of the syndicate. To do that, the Registrar may disclose your information to other racing bodies. On occasion, the Registrar may disclose names and contact details to racing organisations, including race clubs and owners or breeders associations. However, this information will only be disclosed where the Registrar is of the opinion that such communication may be of interest or benefit to you. If you do not want to receive such communication, you may advise the Registrar of that fact at any time. You can gain access to and request changes be made to your information held by the Registrar at any time. You do not have to supply the information requested, but if the information is not provided your application may be rejected.

**PERSONAL INFORMATION:**

1. In the past ten (10) years, have you been convicted of, or is there a pending charge against you, for any offence involving: (a) violence against a person; or (b) dishonest or criminal activity?
  2. Have you ever been convicted under the Australian Rules of Racing or rules of any racing authority?
- If any applicant should answer "yes" to any of these questions, the applicant must notify the Registrar in writing prior to the lodgement of the application. Such notification must include full details of the conduct in question. The Registrar will advise in writing of having received such notification. That advice should be retained by the applicant as evidence that the appropriate notification has been made. You are advised that should it be established that an individual has neglected or failed to truthfully respond to questions 1(a), 1(b) or 2, this application and any other application concerning the individual may be refused or cancelled at any time. If the notification has previously been advised to the Registrar, there is no need to do it again.

Signature of Applicant – if an individual or duly authorised representative, if a company

\_\_\_\_\_

Signature of witness \_\_\_\_\_

Name of Witness \_\_\_\_\_

Address of Witness \_\_\_\_\_

Dated \_\_\_\_\_