



## SHARE APPLICATION FORM

Applicant – Surname (individual):		
Given name(s):		Date of Birth:
Company name (if applicable):		ACN:
Syndicate name (optional):		
Street Address (required):		
Suburb:	State:	Postcode:
Telephone (Bus):	Home:	Mobile:
Postal Address (if applicable):		
Suburb:	State:	Postcode:
Email:		
Tax file number:		
Australian Business Number (ABN) (if applicable):		
If you have an ABN number, are you registered for GST? (if applicable):		
Where ABN is not supplied, please confirm that you are a hobby owner:		

### BANK ACCOUNT DETAILS FOR PAYMENT OF PRIZE MONEY DISTRIBUTIONS AND PROCEEDS BY EFT

ACCOUNT NAME	BANK NAME	BSB NUMBER	ACCOUNT NUMBER

**[Please complete the above table as the information is required by the Registrar of Racehorses when registering ownership].**

### ACKNOWLEDGMENTS

- (a) I have read and understood a copy of the Product Disclosure Statement (PDS) relating to the offering of Shares in the **"UNNAMED" Brown Colt Foaled 26th September 2019, by CARAVAGGIO (USA) from MALIBU ROAD (USA) (Syndicate)**.
- (b) I agree to be bound by the terms of the PDS and the other documents referred to in the PDS.
- (c) I acknowledge that **DYNAMIC SYNDICATIONS (DEAN WATT THOROUGHBREDS PTY LTD T/AS) (ABN 64 134 481 539) (AFSL 336808) (Promoter)** reserves the right to decline any application or part of any application at its discretion and that the allotting of Shares to any applicant requires the approval of the Promoter. Subject to that right, Shares will be allotted in the order in which applications are received and application moneys will be refunded to applicants whose applications are unsuccessful either because their applications have been declined or because all the available Shares have been sold. Any interest earned will be paid on the refunds.
- (d) I acknowledge that the total amount payable per Share upon application is **\$9,690.00 (Application Price)**
- (e) I authorise the Promoter or Manager to sign on my behalf and lodge with the Registrar of Racehorses or Principal Racing Authority any application for registration or transfer of ownership relating to the Horse during the life of the Syndicate.

### APPLICATION FOR SHARES

I apply for \_\_\_\_\_ Share(s) in the Syndicate at the Application Price per Share of **\$9,690.00**.

### HOW TO INVEST

Shares will not be issued on the basis of this Application after the Offer closing date.

This Application Form must be signed by all parties. Joint Applicants must state whether they wish to purchase as joint tenants or tenants in common. In the absence of any such statement Joint Applicants will be deemed to be tenants in common. Tenants in common will be deemed to be in equal Shares unless the contrary is stated. The Promoter reserves the right to require the joint owners of any Share to register their own Syndicate with the Lead Regulator in accordance with the Rules of Racing and to own that Share in the name of that Syndicate.

If signed under Power of Attorney, the Attorney hereby certifies that he or she has not received notice of a revocation of that power and undertakes to produce the Power of Attorney (or a certified copy of it) if requested.

A Company must execute in accordance with section 127 of the *Corporations Act 2001* or by an authorised officer. Minimum Application is for one (1) Share. In the case of a representative, satisfactory evidence of appointment must be produced.

This Application Form must not be handed on unless attached to this PDS.

Applicants are advised to seek independent advice as to their legal position and in relation to the value of the Horse and Shares the subject of this PDS.

**THE FOLLOWING PRIVACY NOTICE AND REQUEST FOR PERSONAL INFORMATION IS INCLUDED ON THIS APPLICATION FORM AT THE REQUEST OF THE LEAD REGULATOR:**

**PRIVACY:**

The Registrar or Deputy Registrar of Racehorses collects information about all syndicate members when the syndicate manager submits an application to register a syndicate. The Registrar or Deputy Registrar will use that information to assess the application and if the syndicate is approved, the ongoing status of the syndicate. To do that, the Registrar may disclose your information to other racing bodies. On occasion, the Registrar may disclose names and contact details to racing organisations, including race clubs and owners or breeders' associations. However, this information will only be disclosed where the Registrar is of the opinion that such communication may be of interest or benefit to you. If you do not want to receive such communication, you may advise the Registrar of that fact at any time. You can gain access to and request changes be made to your information held by the Registrar at any time. You do not have to supply the information requested, but if the information is not provided your application may be rejected.

**PERSONAL INFORMATION:**

1. In the past ten (10) years, have you been convicted of, or is there a pending charge against you, for any offence involving:
  - (a) violence against a person; or
  - (b) dishonest or criminal activity?
2. Have you ever been convicted under the Australian Rules of Racing or rules of any racing authority?

If any applicant should answer "yes" to any of these questions, the applicant must notify the Registrar in writing prior to the lodgement of the application. Such notification must include full details of the conduct in question. The Registrar will advise in writing of having received such notification. That advice should be retained by the applicant as evidence that the appropriate notification has been made. You are advised that should it be established that an individual has neglected or failed to truthfully respond to questions 1(a), 1(b) or 2, this application and any other application concerning the individual may be refused or cancelled at any time. If the notification has previously been advised to the Registrar, there is no need to do it again.

Signature of Applicant (if an individual) \_\_\_\_\_

Signature of director/authorised officer (if a Company) \_\_\_\_\_

Signature of witness \_\_\_\_\_

Name of Witness \_\_\_\_\_

Address of Witness \_\_\_\_\_

Dated \_\_\_\_\_

I have provided 100 points customer identification information

I elect to pay for my Share(s) either by: (a) **Cheque** (attached) or by (b) **Credit Card** (Visa or MasterCard) or by (c) **EFT**

**(a) Cheques to be made payable to:**

**DEAN WATT THOROUGHBREDS PTY LTD T/AS DYNAMIC SYNDICATIONS TRUST ACCOUNT** and forwarded with this completed Application Form to **DYNAMIC SYNDICATIONS, PO Box 2324, TAREN POINT, NSW 2229**

**(b) Credit Card number:** \_\_\_\_\_ **CCV (3-digit number on back of card)** \_\_\_\_\_

**Expiry Date of Card:** \_\_\_\_\_ I authorise you to process payment via this Credit Card: \_\_\_\_\_

**Name of Cardholder (as appears on card)** \_\_\_\_\_

**(c) I wish to pay for my Share(s) by EFT.** Please deposit to trust account - details are supplied with Tax Invoice.

I understand that this application will not be accepted until payment for the Share(s) has been made and the application is formally accepted.